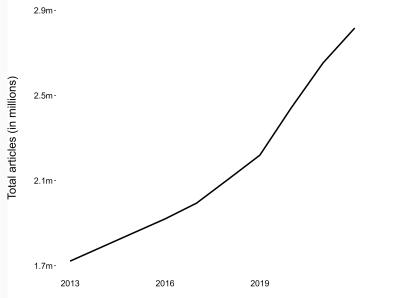
# We've got issues

Understanding the current strain on scientific publishing

M. A. Hanson, P. Gómez Barreiro, **P. Crosetto**, D. Brockington Scientific publishing day at Saclay - March 21st, 2024

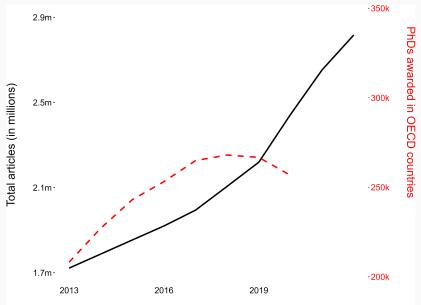
# Academic publishing is undergoing an exponential growth



Source: N papers -- Scimago website data; N PhDs - OECD

- More scientists around
- More funds for research
- Open Access: more results available to anyone
- Web tools: faster dissemination of ideas
- · Lower file drawer effects
- More replications, robustness, reviews, meta-analyses

## But the number of scientists has hit a limit



# Editors resigning over high fees

Chris Chambers & @chrisdC77 · 16h
Following Elsevier's decision to raise the APC for NeuroImage to \$3,450, al editors (inc. ElCs @fmithb steve @cboergmann @BirteUta) from NeuroImage and NeuroImage:Reports have resigned, effective immediately. I am joining this action and have also resigned imaging-neuroscience.org/Announcement.p...
Elsevier: NeuroImage transition - all editors (inc. Elsevier), NeuroImage and NeuroImage: Application of the starting a new non-profit journal, Imaging Neuroscience.
Summer NeuroImage has long here the laeding journaf foculing of the starting and the starting a new non-profit journal, Imaging Neuroscience.
Summer NeuroImage has long here the laeding journaf foculing of the starting and the starting a new non-profit journal, Imaging Neuroscience and the starting and the



Gemma E Derrick @GemmaDerrick · 17 mars ···· Today I resigned my position as Editor-in-Chief of @Public\_MDPI. I do not

consider our journal, Publications, to be predatory in any way but my decision is precipitated by a continual tension between my outward-facing role as Editor in Chief of Publications 1/3

Q 11 1, 106 ♥ 247 III 114,2 k



Gemma E Derrick @GemmaDerrick · 17 mars

and increasing discourse within my own professional community around the predatory publishing practices of MDPI journals. The behaviour of our Editorial board has been exemplary, both in assuring the integrity and honesty of our peer review practices in upholding quality 2/3

Q 1 1, 3 ♡ 52 1, 12,1 k 1



Gemma E Derrick @GemmaDerrick · 17 mars

standards. Despite this, backstage practice of key values at MDPI are increasingly at odds with the values we prioritise in publication practices. I consider my time with the journal to be complete and am grateful for the experience but now is time is now to move on. 3/3

🗘 7 🎦 7 🧡 76 📊 12,5 k 🛧

# Editors resigning over bad publisher practices

Paper mills mass producing fake articles NEWS FEATURE | 23 March 2021

# The fight against fake-paper factories that churn out sham science

Some publishers say they are battling industrialized cheating. A *Nature* analysis examines the 'paper mill' problem – and how editors are trying to cope.



#### Nick Wise

The guest editor of an open special issue in @Symmetry\_MDPI on elearning openly selling authorship of papers on e-learning mdpi.com/journal/symmet...

#### Traduire le Tweet

The can join the team of authors, if you wish.

The paper will be indexed in both Scopus (Q4) and Web of Science. 1st position costs 6390, 2nd position 6290, positions 3 to 6 6200. Payment is after acceptance. Would you like to be a part of the team? Benister at

Call for Scopus coauthors E-learning and Economics 200 euro 1st position costs (390, 2nd position (290, positions 3 to 6 (200) Payment is after acceptance. If you wish to join, please register at https://ttaerexru/coauthor/ If you wish to be be in the its of co-authors, you are welcome to join. 1st position costs (390, 2nd position (290, positions 3 to 6 (200. Payment is after acceptance. Are yu with us? Please, register at https://ttaerw.v/coauthor/

Papers will be published in a book

series indexed in Scopus (Q4) and Web of Science

#scopus #webofscience #wos #science #coauthor #coauthorship

# Authorship sales rings

8:29 PM · 4 mars 2023 · 35,6 k vues

# Stunningly prolific authors

ce & Tech

#### One of the world's most cited scientists, Rafael Luque, suspended without pay for 13 years

EL PAÍS

The prolific chemist, who has published a study every 37 hours this year, has been sanctioned by the University of Córdoba över his research work for other institutions in Russia and Saudi Arabia

SILICON VALLEY · YOUTUBE · O

# Pay to get faster through peer-review



Dr Elizabeth Gadd @lizziegadd@mastodon.online @LizzieGadd

"Accelerated publication" charges still make my eyes pop out of my head. taylorandfrancis.com/partnership/co... Traduire le Tweet





# «I Do Not Have Time»—Is This the End of Peer Review in Public Health Sciences?

📃 Nino Künzli<sup>1,2,3</sup>\*, 🔬 Anke Berger<sup>1,3</sup>, 🎆 Katarzyna Czabanowska<sup>4</sup>, 🕵 Raquel Lucas<sup>5</sup>, 👰 Andrea Madarasova Geckova<sup>6</sup>, 🛐 Sarah Mantwill<sup>7</sup> and 🙀 Olaf von dem Knesebeck<sup>6</sup> Editors unable to find referees

#### CIENCEINSIDER | SCIENTIFIC COMMUNITY

#### Fast-growing open-access journals stripped of coveted impact factors

Web of Science delists some 50 journals, including one of the world's largest

28 MAR 2023 + 5:55 PM + BY JEFFREY BRAINARD



# Mega-journals being delisted from WoS

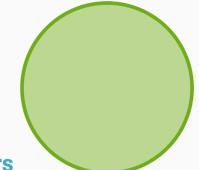
# How does publishing work?

# We don't think binary labels improve our understanding There'll be no "predatory" judgments here

- outright fraudsters do exist (publishers and authors)
- agents just follow their interest
- market rules generate outcomes
- outcomes can be good or bad
  - for the different actors
  - for the public good that is science

# Behold the scientific publishing system





# **Researchers**



## What are the functions the system fulfills...

for Scientists

#### for Publishers

## for Funders

- dissemination
- reputation
- sorting

- profits
- dissemination
- sustainability

- selection
- prioritization
- public access

# What do different actors want from the system?

# Scientists

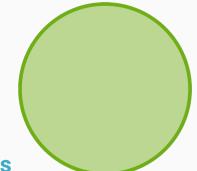
#### **Publishers**

- high reputation
- low effort
- stability

- high reputation
- high quantity
- high revenue

- stability
- true signal
- low spending





# **Researchers**



# **Researchers**

# **Publishers**









# **Researchers**



# What is going on?

Growth is not more of the same: growth means change.

- new practices
- new business strategies
- new incentives
- new constraints
- new meanings



More Is Different

Broken symmetry and the nature of the hierarchical structure of science.

P. W. Anderson

less relevance they seem to have 1 way real problems of the rot o ence, much less to those of s The constructionis Hypothesis 1 down when confronted with the difficulties of scale and completely gases of elementary particles, it sets of elementary particles, it out, is not to be understood in of a simple extrapolation of the eriles of a few particles. Instre each level of complexity emitpy properties appear, and the under ing of the new behaviors require

# A semantic shift

## "Journal"

#### used to mean



# A physical object with limited available space

#### now it also means

#### Here sides or glio 3300 pros24000002 Published 7 April 2023

#### Case Report Germine Variants in NUH1 and ATM Genesin a Young Padeet with Mid-H in a Decamorany Crimel ( Jacken

by Antonio Notano et Al. Int. J. Mol. Sci. 2023, 24(5), 5070; Hitps Jobi org/L0.33900(pvs24069979



Ancie Malecular Analysis of SARIS Coll 2 Spike Protein-Induced Endothelial Coll Permeability and VMT Secretion by Yacki Guo and Verhalosowich Kanamarteput Int J. Mar. Sci. 2022, 34(5), 5564



#### OpenAccess Attace

CRF1 siRNA-Encapsulated PLGA Nanoparticles Suppress Turnor Growth in MCF-7 Human Breast Cancer Cells

by Q. Sincyu Plac, Q. Bijan Lee, Q. Seonhee Kim, Q. Hyseon Park, Q. Harnha Hagar, Q. So-Jenny Chol, Q. Gang-Hoong Vo. Q. Minnoo Kim, Q. Cun-Ok Lee, J. ByrompHims J Q. Dong Wace-Kim, Q. Yaangaba Seo and Q. Cak-Seong Kim

int. J. Mitr. Sci. **2023**, 24(6), 7453, https://doi.org/10.0290/jms24087453 (registering DOI) - 10.4p 2023

abstract Mitischoodnia ooldativa phoophooylador (0074455) system trykinotoon in cancer cella haa een responded as a larget für anti cancer therapeoulic intervension. The downregulation of CRM meanding laces 12 (SRF) ja ne essensial intervensional lactor, can impair mitischandrial landon is artous cell types in this study, we investigated [...] Read mere.

(This article belongs to the Special Issue Manoparticles in Manobiotechnology and Manomedicine) = Show Pigures

#### OpenAccess Preview

Detailing Protein-Bound Uremic Toxin Interaction Mechanisms with Human Serum Albumin in the Pursuit of Designing Competitive Binders by @ Vida Delgtan Neutanak and @ Lany D. Unsworth

2 J. Mor. Sci. 2023, 24(8), 7452, https://doi.org/10.3300/jmc24087452 (registering DOI)

Abstract Chronic Moley disease is the gradual progression stillating dysterction and involves numerous co-matisfields, one siths leading causes of entating (the of the primary complications of licely sphericine) in the accountiation of torium in the biochrain, particularly spheric bound unner licels (PUTI), which [1,1] that more. (This article biologies to the Special linear Macroscopic and Microscopic Thermodynamics: Frem

and an end of the present state man or opposite and the concept of the molecular reasons and americals to Present Applications 2.8)

Shew Figures

#### OpenAccess Atlate

Crosstalk between Metabolite Production and Signaling Activity in Breast Cancer by Cantor Cates, Cates Laurera, Maria Irela Chiet and Daspare Depare

Careful Calles, Carlos Laucera, C Maria Pete Chief and C Jasepan Dopaze Meri Sci 2023, 2403, 1253, https://doi.org/10.1202/dom/24031253 (averation DOIL, 10.4)

A limitless electronic repository with a name

#### 22

# "Publication"

used to mean

- · a handful of journals
- long delays
- low acceptance rates
- free for authors
- do it and thrive
- $\Rightarrow$  good science rejected?

now it also means

- · thousands of journals
- short delays
- high acceptance rates
- authors pay
- don't do it and die
- $\Rightarrow$  bad science accepted?

# "Special issue"

used to mean

- A once-in-a-while issue
- About a special topic
- Strict editor control
- regular > special

now it also means

- A many-a-day issue
- About any topic
- Relaxed editor control
- special > regular

### "Publisher business model"

### used to mean

- Many small journals
- Readers pay
- \$ through subscription
- "Polish your gems"

Incentive to  $\uparrow\uparrow$  quality, quantity? ...

#### now it also means

- Few mega-journals
- Authors pay
- \$ through publication
- "Get authors on board"

Incentive to  $\uparrow\uparrow$  quantity, quality? ... **Our analysis:** 

# Understanding the strain put on the system by evolving publishers practices

So, this

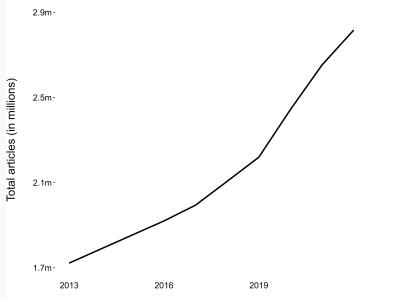


# **Researchers**

# Wanna know more? get our preprint



# Which trends and threats hide behind this exceptional growth?



Source: N papers -- Scimago website data; N PhDs - OECD

### We single out five indicators of strain on the system:

- Number and size of journals
- Number and role of Special Issues
- Turnaround times
- Rejection rates
- Impact Factor inflation

None of them is critical per se

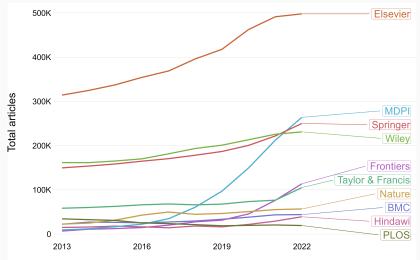
together they indicate strain imposed by publishers

We exploit data coming from various sources:

- A full scrape of the Scimago Journal Rankings database used for: comparisons across publishers, IF, SJR rank...
- OECD and US NSF data used for: number of PhDs awarded per year
- Web scrape of MDPI, Frontiers, Hindawi, PLoS used for: turnaround times, special issues
- First hand data from publisher reports and websites used for: rejection rates

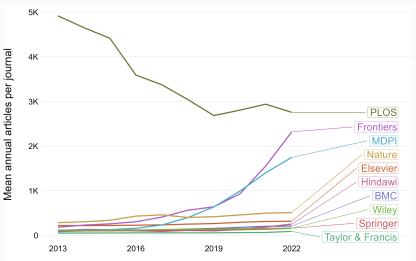
# Number of articles & journal size

# The rise of new publishers



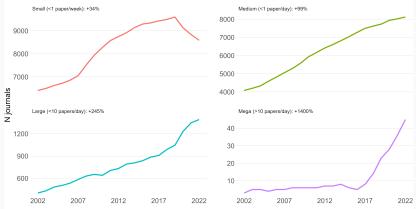
Source: Scimago website data

# **Bigger journals**



Source: Scimago website data

## The rise of mega-journals



Number of journals by class of size, 2002-22

Source: Scimago website data

## Trends:

Growth means concentration, especially for new players

## Why?

- Scientists tend to flock to journals with high reputation
- Hard to set up, but if you have one, exploit it

## Threats

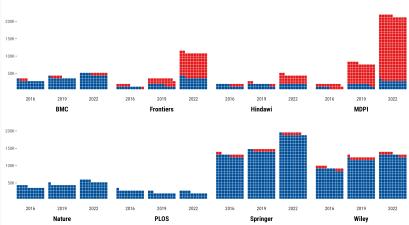
- · How much can a journal inflate before it loses reputation?
- Risk of instability of quality signals

## The role of special issues

## Not so special after all

Number of papers published in regular vs special issues, 2016-22

One square = 800 articles

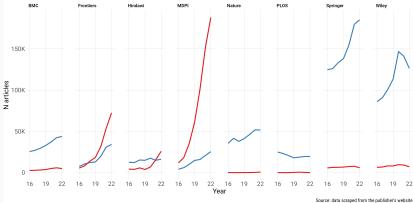


Source: data scraped from the publisher's website

Note: Special issues are called Collections at PLOS and Topics at Frontiers. For MDPI Collections, Sections and Topics not shown.

## Not so special after all

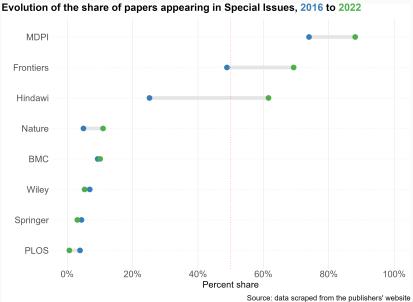
#### Number of papers published in regular vs special issues, 2016-22



Wiley decrease in 2022 likely due to limited coverage of Wiley papers in 2022

Source: data scraped from the publisher's website Notes: Special issues are called Collections at PLOS and Topics at Frontiers. For MDPI Collections, Sections and Topics not shown.

## Journals at most big OA publishers are mostly special issues



Special issues are called Collections at PLOS and Topics at Frontiers. For MDPI Collections, Sections and Topics not shown.

### Trends:

• SI as a fantastic engine of growth for big OA publishers

## Why?

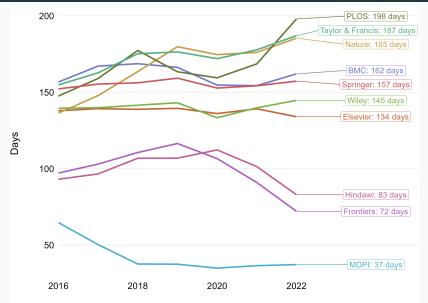
· Mobilization of an army of guest editors & their networks

## Threats

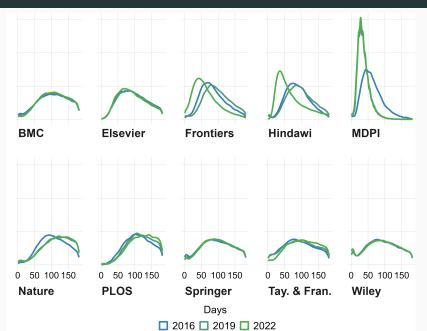
- Less control increases chance of exploitation by authors
- Potential crisis of the SI model (Hindawi, IJERPH delisting)

## **Turnaround times**

## Turnaround times have decreased for all for-profit OA publishers



## Turnaround times are getting more homogeneous



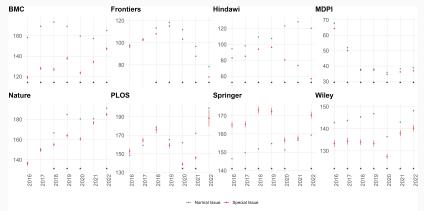
40

## Turnaround times are getting more homogeneous

Article heterogeneity in turnaround times by publisher, 2016-22 вмс Hindawi MDPI Elsevier Frontiers 2016 2022 PLOS Nature Springer Tay. & Fran. Wiley 2016 2022 0 200 400 600 0 200 400 600 0 200 400 600 0 200 400 600 0 200 400 600 Days

41

## Lower TATs for Special Issues



Normal & Special Issues turnaround times per year and publisher. \* Denotes significant differences (at 5%)

### Trends:

• TAT can be due to inefficiencies – good that they go down

## Why?

Convergence of authors & OA publishers incentives

## Threats

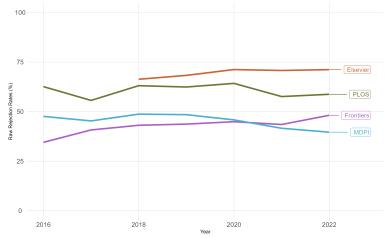
- Lower TAT must still allow for proper peer review
- Some TAT so low, it casts doubts on quality

## **Rejection rates**

## **Rejection rates: absolute values**

#### Evolution of raw rejection rates

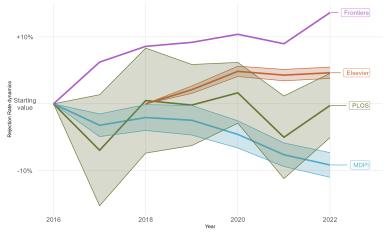
Raw rejection rates calculated by publishers using own protocols (not standardised)



## **Rejection rates: normalized**

#### Evolution of normalised rejection rates

WIth respect to the first year in our dataset



Shaded areas represent 95% CI, Frontiers has no CI as Frontiers data are aggregate over all journals from annual reports Source: web scraped data

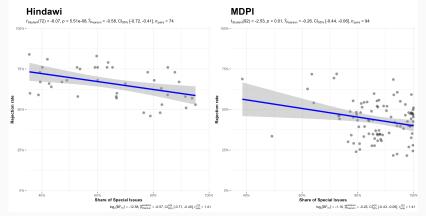
## To be fair: RR at MDPI on the rise since 2023



## More SIs, less rejections

#### Share of Special Issues and Rejection Rate at Hindawi and MDPI

92 MDPI journals with an IF as of January 2023, 72 Hindawi journals for which we have data



## What's going on?

## Trends:

- Rejection rates are decreasing at some key publishers
- Increasing at others
- Very little data

## Why?

Convergence of authors & OA publishers incentives

## Threats

- · Lower rejection rates might mean lower quality
- Risk of instability of quality signals

## **Impact Factor inflation**

## Indicators of impact: Impact factor, Scimago Journal Rank

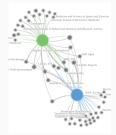
### We measure Impact Factor Inflation as the ratio of IF to SJR

### Impact Factor:

- cites/document at N years
- easily gamed

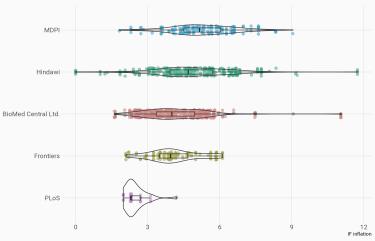
### SJR: citation network counts

- · Limits prestige from single source
- · More prestige if cited by relevant journals
- · Normalizes for field size
- · Less easily gamed



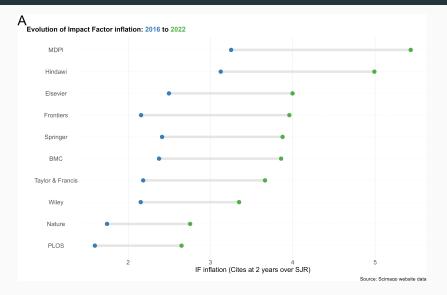
#### Impact Factor inflation, 2021

2y cites over SJR

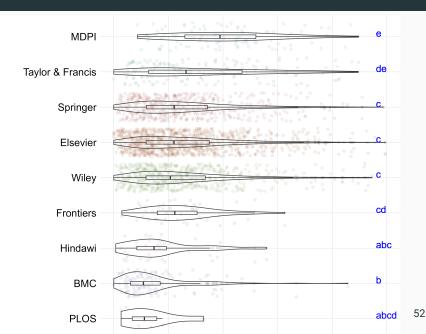


Scimago data -- analysis MH, PC, PGB, DB

## **Evolution of IF inflation**



## IF inflation: why? Self-cites



## Trends:

• IF is inflating across the board – more so at some publishers

## Why?

• Goodhart's law: When a measure becomes a target, it ceases to be a good measure

## Threats

• Risk of instability of quality signals

## At a glance

	2022					Change 2016-22					
	TOTAL ARTICLES	SHARE SPECIAL ISSUE	TURNAROUND TIME (DAYS)	REJECTION RATE	IMPACT INFLATION	TOTAL ARTICLES	SHARE SPECIAL ISSUE	TURNAROUND TIME (DAYS)	REJECTION RATE	IMPACT INFLATION	
Overall	2816k	38%	116	62%	3.3	+47%	+27pp	-23	-1pp	+1.1	
Elsevier	498k		134	71%	4.0	+41%		-4	+5pp*	+1.5	
MDPI	264k	88%	37	40%	5.4	+1080%	+14pp	-28	-8pp	+2.2	
Springer	250k	3%	157		3.9	+52%	-1pp	+5		+1.5	
Wiley	231k	5%	145		3.3	+36%	-2pp	+5		+1.2	
Frontiers	114k	69%	72	48%	4.0	+675%	+20pp	-25	+14pp	+1.8	
Taylor & Francis	105k				3.7	+59%				+1.5	
Nature	57k	11%	185		2.8	+32%	+6pp	+49		+1	
BMC	44k	10%	162		3.9	+73%	+1pp	+5		+1.5	
Hindawi	39k	62%	83	74%	5.0	+139%	+36pp	-10	+3pp°	+1.9	
PLOS	19k	1%	198	59%	2.6	-23%	-3pp	+50	-4pp	+1.1	

#### Strain indicators at a glance: 2022 and evolution 2016-22

	2022				Change 2016-22					
	TOTAL ARTICLES	SHARE SPECIAL ISSUE	TURNAROUND TIME (DAYS)	REJECTION RATE	IMPACT INFLATION	TOTAL ARTICLES	SHARE SPECIAL ISSUE	TURNAROUND TIME (DAYS)	REJECTION RATE	IMPACT INFLATION
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BMC	44k	10%	162		3.9	+73%	+1pp	+5		+1.5
Hindawi	39k	62%	83	74%	5.0	+139%	+36pp	-10	+3pp°	+1.9
PLOS	19k	1%	198	59%	2.6	-23%	-3pp	+50	-4pp	+1.1

#### Strain indicators at a glance: 2022 and evolution 2016-22

## What can you do?

## We built a tool that lets you explore data journal by journal

https://pagoba.shinyapps.io/strain\_explorer/



**as an author** If it looks to good to be true, it ain't true. No shortcut. Be aware.

- **as an author** If it looks to good to be true, it ain't true. No shortcut. Be aware.
- **as a practitioner** Science is still alive and kicking. Under a pile of mediocre stuff. Be aware. Dig deeper.

- **as an author** If it looks to good to be true, it ain't true. No shortcut. Be aware.
- **as a practitioner** Science is still alive and kicking. Under a pile of mediocre stuff. Be aware. Dig deeper.
- **as a funder** Focus on quality rather than quantity. Beware of the perverse effects of your incentives.

## Thank you!